

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

09/700713

Genichiro SOMA et al

Application No.: New Application

Filed: November 27, 2000

Attorney Dkt. No.: 101149-00008

For: ADDITIVES FOR CRUSTACEAN OR FISH FEEDS AND FEEDS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

November 27, 2000

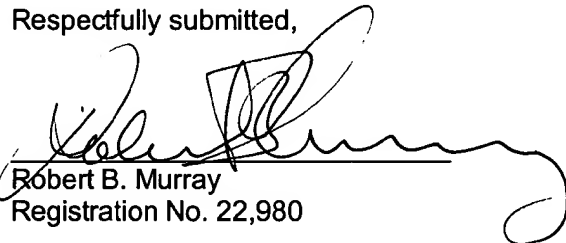
Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue there from.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application, or (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☐ c. A check in the amount of \$240.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.

- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. Submitted herewith is an Information Disclosure Statement for the above-identified application, signed by Mr. Iwao Tamura the Japanese Patent Attorney involved in the preparation of the present application.

Respectfully submitted,


Robert B. Murray
Registration No. 22,980

ARENT FOX KINTNER PLOTKIN & KAHN, PLLC
1050 Connecticut Avenue, N.W.,
Suite 600
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810
RBM/cb

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Genichiro SOMA et al

Title : ADDITIVES FOR CRUSTACEAN OR FISH FEEDS AND FEEDS

INFORMATION DISCLOSURE STATEMENT

I, the undersigned, Iwao Tamura, am a Japanese Patent attorney involved in the preparation of the specification of the above-indentified U.S. Patent Application.

The following prior art is the closest prior art of which I am aware relating to the invention defined by the claims of the subject application:

- ✓ 1. Patent Abstracts of Japan, Publication No. 08-198902 - Publication Date 08/06/96 - Mizuno et al
- ✓ 2. Patent Abstracts of Japan, Publication No. 06-141849 - Publication Date 05/24/94 - Soma et al
- ✓ 3. USP 5,281,583 - Soma et al
- ✓ 4. USP 5,346,891 - Soma et al
- ✓ 5. USP 5,494,819 - Soma et al
- 6. Patent Abstracts of Japan, Publication No. 08-280332 - Publication Date 10/29/96 - Samegai et al
- ✓ 7. Patent Abstracts of Japan, Publication No. 10-279486 - Publication Date 10/20/98 - Nagato et al
- ✓ 8. EP 592,220 - Araki et al
- ✓ 9. Nippon Suisan Gakkaishi, vol.53(2), p.201-204 (1987) - Fulvio Salati et al
- ✓ 10. Infection and Immunity, vol.58(2), p.427-432 (1990) - Marilyn J. Odean et al
- ✓ 11. Developmental and Comparative Immunology, vol.9, p.803-809 (1985) - L.W.Clem et al

A copy of the prior art reference noted above is attached to this paper.

November 15, 2000

Iwao Tamura